

## Non-Qualified Assets Transfer Form

Use this form to request a transfer of assets from another financial institution to CION Investment Corporation. If your account is a qualified plan through a custodian, separate instructions must be sent to your custodian.

CION Investment Corp

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### 1. Investor Information

Investor Name (First, Middle, Last)

Joint Investor Name (First, Middle, Last)

SSN / Tax Identification Number

SSN / Tax Identification Number

Address

City

State

Zip

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### 2. Surrendering Financial Institution Information

Financial Institution Name

Investor's Account Number with Trustee

Address

City

State

Zip

Telephone

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### 3. Investment Amount

100% of the assets in the account listed above.

A partial transfer of \_\_\_\_\_ % of the assets in the account listed above.

\$ \_\_\_\_\_ from the account listed above.



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#### 4. Form of Transfer

Please wire funds to the account specified below:

CION Investment Corporation

United Missouri Bank, NA

ABA #101000695

DDA #9871976041

Account Name: CION ESCROW

FFC: Investor Name and Account or BIN Number.

Please send a check to the address below:

Check is made payable to:

CION Investment Corporation

CION Investment Corporation

c/o DST Systems

P.O. Box 219476

Kansas City, MO 64121-9476

Tel: (800) 343-3736

Please overnight a check to the address below:

Check is made payable to:

CION Investment Corporation

CION Investment Corporation

c/o DST Systems

430 West 7th Street

Kansas City, MO 64105

Tel: (800) 343-3736

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#### 5. Signatures

**MUST BE SIGNED BY ALL INVESTORS.** The signature(s) below serve as a letter of authorization to transfer funds to CION Investments as indicated above. Please process immediately.

\_\_\_\_\_  
Signature of Investor

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Joint Investor

\_\_\_\_\_  
Date

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Should you have any questions, please call Investor Relations at (888) 729-4266.